

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin W. Bennett, et al

Examiner:

Serial No:

Group Art Unit:

Filed:

For: DISPERSION COMPENSATION  
MODULEINFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98Commissioner of Patents  
Alexandria, VA 22313-1450

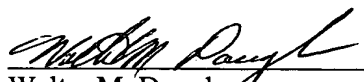
Dear Sir:


The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the non-US reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

  
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607-974-2431Date: 20 Nov 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on	
<u>20 November 2003</u>	
Date of Deposit	
Walter M. Douglas	
Name of applicant, assignee, or Registered Representative	
	
Signature	
<u>20 November 2003</u>	
Date of Signature	
Express Mail No. EV 327190377 US	

<b>FORM PTO-1449 (MODIFIED)</b>  <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT</b>	<b>ATTORNEY DOCKET NO.</b>  SP03-006	<b>SERIAL NO.</b>
	<b>APPLICANT</b> Kevin W. Bennett, et al.	
	<b>FILING DATE</b>	<b>GROUP:</b>

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	6,055,081	4/25/00	Koyano, et al	359	161	
	AB	5,778,132	7/7/98	Csipkes, et al	385	135	
	AC	6,456,773B1	9/24/02	Keys	385	135	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
AR	"High-performance Dispersion-slope and Dispersion Compensation Modules", Aikawa, et al Fujikura Technical Review, 2002, pps 59 - 64
AS	
AT	
AU	
AV	
AW	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.